

# Professional Trust Individual Tax Residency Self-Certification Form



The Tax Administration Act 1994 supports the implementation of the Common Reporting Standard (CRS) and the Foreign Account Tax Compliance Act (FATCA) in New Zealand. CRS and FATCA require certain New Zealand Financial Institutions to collect and report particular information about an Account Holder's tax residence.

Self-Certifications must be collected for in scope accounts prior to an Interest Bearing Deposit (IBD) being opened.

## 1. Individual details

What is your full legal name?

## 2. Personal information

2.1 What is your current residential address?

<hr/> <hr/> <hr/>	Postcode:
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Proof of your current residential address may be required, please refer to **Appendix 1**.

2.2 What is your date of birth:

## 3. Citizen of the United States

Are you a citizen of the United States?

Yes, I am a US citizen.

If you are a US citizen under US law you are considered a US tax resident, please enter 'US' and your Social Security Number (SSN) in Section 4.1.

No, I am not a US citizen.

## 4. Country/Jurisdiction(s) of tax residence

4.1 Please list all applicable country/jurisdiction(s) where you are tax resident, including New Zealand.

For each country/jurisdiction, except New Zealand, you will need to provide a taxpayer identification number (TIN) or equivalent, in the table below. If a TIN is not available for that country/jurisdiction, use the appropriate reason A, B, or C.

**Reason A** The country/jurisdiction where I am tax resident does not issue a TIN to its residents

**Reason B** I have not been issued a TIN by my country/jurisdiction of tax residence (please include an explanation as to why a TIN was not issued to you in the table in 4.2 within the corresponding entry number)

**Reason C** The domestic law of the country/jurisdiction where I am tax resident does not require the collection of a TIN

Country/Jurisdiction(s) of tax residence (if more than one, please specify all)	Taxpayer identification number(s) (e.g. SSN, TFN, PAN, UTR, NINO, RRN, ITN, HKID, INN) OR Reason (A, B or C)
1	
2	
3	
4	

**Please note:** if you are not a tax resident of the US but you were born in the US or you are a naturalised US citizen please refer to **Appendix 2**.

4.2 If you have selected **Reason B** above please explain why a TIN was not issued to you.

Explanation for Reason B
1
2
3
4

#### 4. Country/Jurisdiction(s) of tax residence (continued)

4.3 If the country you are residing in according to Section 2.1 is not included as one of your country/jurisdiction(s) of tax residence in Section 4.1 please provide an explanation:


#### 5. Declaration

I declare that all the information provided in this form, to the best of my knowledge, is correct and complete. I will advise ASB as soon as any of this information changes, including any change in the Account Holder's tax residency status.

I acknowledge that the information contained in this form may be shared with the IRD and that the IRD may then exchange this information with tax authorities of another country/jurisdiction in which the Account Holder may be tax resident.

Name (please print)

Signature

Date

D	D	M	M	Y	Y	Y	Y
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**Note:** If you are not the Account Holder please indicate the capacity in which you are signing the form (e.g. parent, legal guardian, or under a power of attorney). If signing under a power of attorney please attach a certificate of non-revocation of power of attorney.

Capacity

#### FOR BANK USE ONLY

Date stamp